



## IMPLEMENTING GUIDELINES

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Company	JG SUMMIT HOLDINGS, INC. (JGSHI)	Reference (Old Doc.) No. CORP-5001 dtd. October 13, 2014	Revision No. 1
Business Unit	CCU	Document / Revision Date 10 February 2022	
Chapter	PROCUREMENT	Effectivity (Approval Date): 17 February 2022	
Section	SUPPLIER MANAGEMENT	Approved by:	
Subject	<b>SUPPLIER ACCREDITATION IMPLEMENTING GUIDELINES</b>	(Original signed) <b>JGSHI President and CEO</b>	

### OBJECTIVES

1. To provide the implementing guidelines for the supplier accreditation in Corporate Center Units (CCU) and establish the roles of the appointed members of CCU Supplier Accreditation Team (SAT).
2. To implement the transfer of responsibilities of the Corporate Supplier Accreditation Team (Corp SAT) to the Corporate Center Units Accreditation Team (CCU SAT) in accordance with the approved policies on Supplier Accreditation per CORP – 5001.
3. To ensure compliance to the policy that the Company shall purchase only from duly accredited suppliers approved for accreditation by the appointed Business Unit (BU) or CCU Supplier Accreditation Team (SAT)

### SCOPE AND COVERAGE

This document outlines the procedures to be followed by the authorized CCU personnel or group engaged in procurement and accreditation transactions.

### RESPONSIBILITIES

Following are the responsibilities related to the Supplier Accreditation Implementing Guidelines:

1. **Strategic Procurement Group** shall be responsible for:
  - 1.1. Sourcing and pre-qualifying prospective Suppliers;
  - 1.2. Requesting duly completed Supplier Accreditation Application Form (SAAF) and corresponding supporting documents from the Supplier;
  - 1.3. Accomplishing the Proponent’s portion of the SAAF and the Supplier Accreditation Rating Sheet;
  - 1.4. Endorsing the supplier accreditation application packages to the CCU SAT Coordinator; and
  - 1.5. Endorsing the newly accredited Suppliers to Aspen Central Data Management (CDM) Team for Vendor Code creation.
2. **Corporate Center Units Supplier Accreditation Team (CCU SAT)** shall be responsible for:

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- 2.1. Performing the final review and assessment to determine the approval or disapproval of the Supplier's accreditation; and
- 2.2. Together with the Corporate Procurement Governance (CPG) Team, conducting investigation of endorsed cases that warrants suspension or debarment of a particular supplier.
3. **CCU SAT Coordinator** shall be responsible for:
  - 3.1. Performing initial review of the supplier accreditation application;
  - 3.2. Validating the submitted supplier documents, via available Government and Regulatory sites, as well as endorsing the same to CPG Team for validation through Dunn & Bradstreet;
  - 3.3. Endorsing the supplier accreditation application packages to the CCU SAT Members for review and disposition;
  - 3.4. Releasing the CCU SAT results and accreditation status;
  - 3.5. Maintaining the Vendor Master List; and
  - 3.6. Providing the same to the CPG Team on a monthly basis, for purpose of conglomerate-wide leveraging. Details shall include warranties, terms of payment and type of service.
4. **Corporate Procurement Governance Team** shall be responsible for:
  - 4.1. Validating the Supplier's data through Dunn and Bradstreet and providing information upon request of the CCU SAT Coordinator;
  - 4.2. Maintaining the central repository containing the conglomerate-wide Vendor Master List as well as the list of suspended/debarred Suppliers;
  - 4.3. Together with the CCU SATs, conducting investigation of endorsed cases that warrant suspension or debarment of a particular supplier;
  - 4.4. Releasing of an Incident Memo in cases of a Supplier's suspension or debarment; and

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- 4.5. Endorsing all suspended/debarred Suppliers to Aspen CDM Team via Master Data ManagementTool for system blocking.
5. **Corporate Internal Audit** shall be responsible for performing periodic audits to check and ensure compliance to this policy.
  1. The Company shall establish a CCU SAT, with at least three (3) members representing various identified CCU groups appointed by the JGSHI President and CEO, capable of assessing the supplier's overall competencies.
  2. CCU SAT may invite Subject Matter Experts (e. g. Technology, Engineering Team), who shall provide technical advice and assistance in assessing the supplier.
  3. Supplier applying for accreditation shall comply with the company's mandatory documentary requirements, kindly refer to Supplier Accreditation Form containing the List of Supplier Accreditation Requirements.
  4. Existing suppliers shall be required to submit updated documentary requirements for the following request:
    - 4.1. Request for Change Name; and
    - 4.2. Application to supply additional commodity
  5. Rating and assessment of supplier's capability shall be documented using the Suppliers Accreditation Evaluation Sheet (SAES), and shall be approved by the CCU Head of the endorsing group and the CCU SAT.
  6. The CCU SAT shall grant Regular, Conditional or One-Time Accreditation to the applicant supplier after careful deliberation.
  7. CCU SAT may customize their accreditation rating system either by type of industry (Corporation, Cooperative, and Sole Proprietorship) or size of business transaction, which shall be subject to the approval of the CCU SAT Head.

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8. Strategic Procurement Group shall provide an Endorsement Letter as attachment to the supplier accreditation application, for suppliers with below 75% rating. The Endorsement Letter shall be signed/approved by the following:
  - 8.1. Non-IT Suppliers - CCU Head of the endorsing group
  - 8.2. IT Suppliers - CCU Head of the endorsing group and the Chief Information Officer
9. Suppliers given a Regular and Conditional Accreditation status shall maintain a Robinsons Bank Account as a requirement for accreditation. Suppliers requesting to be exempted from such condition shall be covered by a justification memo prepared by Strategic Procurement Group and duly approved by Authorized Approver/s.
10. Strategic Procurement Group shall be responsible for endorsing the Vendor Code creation to Aspen Business Solutions, Inc. – Central Data Management (ABSI-CDM) for accredited external suppliers, including those identified not to be subjected for accreditation, i. e. Open List.
 

Note that Internal Suppliers, e. g. Employees Government Agencies and similar Institutions, shall be handled separately and will not form part of the responsibilities of Strategic Procurement Group.
11. Suppliers with a Regular Accreditation status from other BUs shall qualify to engage and provide the requirements of CCU, unless otherwise restricted or subject to additional requirements imposed by the other BU SAT.
12. Supplier Accreditation status shall be valid until revoked or suspended/debarred.
13. Investigation shall be conducted by the CCU SAT, with the assistance of the Corporate Procurement Governance (CPG) Team regarding the disposition of endorsed cases that warrants suspension or debarment of a particular supplier. This Joint Investigation Team shall coordinate with other BU SATs to inquire on the performance of the supplier under investigation.



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14. CPG Team shall release an incident memo containing the background and the results of the investigation as well as the announcement of suspension/debarment. CPG Team shall consolidate all suspended/debarred Suppliers in a repository.
15. The decision on the Joint Investigation Team s shall be final and valid across all BUs within the Gokongwei Group of Companies.
16. CPG Team shall endorse suspended/debarred Suppliers to ABSI-CDM via Master Data Management Tool for blocking in the system.
17. Suppliers may request for reinstatement course through CCU SAT, if they have already satisfactorily resolved the cause of their suspension or debarment. CCU SAT shall inform CPG Team of such reinstatement.

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